

## An fMRI study of multimodal semantic and phonological processing in reading disabled adolescents

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**Abstract** Using functional magnetic resonance imaging, we investigated multimodal (visual and auditory) semantic and unimodal (visual only) phonological processing in reading disabled (RD) adolescents and non-impaired (NI) control participants. We found reduced activation for RD relative to NI in a number of left-hemisphere reading-related areas across all processing tasks regardless of task type (semantic vs. phonological) or modality (auditory vs. visual modality). Moreover, activation differences in these regions, which included the inferior frontal gyrus, the superior temporal gyrus, and the occipitotemporal region, were largely independent of in-scanner performance in our auditory semantic task. That is, although RD participants and NI participants differed in performance in visually presented conditions, they did not differ significantly in the auditory condition, yet similar patterns of reduced activation were observed in these regions across conditions. These findings indicate a neurobiological marker in RD that is independent of task, modality, or performance. These findings are discussed in the context of current neurobiological models of RD.

**Keywords** Dyslexia · fMRI · Performance · Phonology · Reading disability · Semantics

### Introduction

The neurobiology of reading disability

An increasing body of research suggests that the core deficit in developmental dyslexia (or reading disability (RD)) lies within the language system, most prominently at the level of

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phonological processing and analysis (e.g., Wagner & Torgesen 1987; see also Ramus 2003 for a review). Moreover, a significant body of neuroimaging research has now established a common neurobiological characteristic of RD as a disruption across a number of critical left-hemisphere (LH) reading-related sites. This disruption typically manifests as an under-activation relative to non-impaired (NI) individuals and is primarily observed in both LH temporoparietal and LH occipitotemporal (OT) regions. Moreover, this relative under-activation is particularly pronounced during tasks that require printed word processing or make explicit demands on phonological processing or analysis, e.g., a rhyme task (e.g., Brunswick, McCrory, Price, Frith, & Frith 1999; Paulesu et al. 2001; Pugh et al. 2008; Salmelin, Service, Kiesila, Uutela, & Salonen 1996; Shaywitz et al. 1998; Shaywitz et al. 2002; Temple et al. 2001; see Sarkari et al. 2002; Pugh et al. 2000a for reviews). This functional anomaly in LH regions has been observed consistently in children (Shaywitz et al. 2002) and adults (Salmelin et al. 1996; Shaywitz et al. 1998). Furthermore, this relative hypoactivation in LH posterior regions (notably the LH OT) seems to be stable across alphabetic languages (Paulesu et al. 2001) and is detectable as early as the end of kindergarten (Simos et al. 2002).

Several studies have also observed processing in RD readers that can be interpreted as compensatory. For example, Shaywitz et al. (1998; 2002) observed that during tasks that made explicit demands on phonological assembly (pseudoword and word-reading tasks), RD readers showed a disproportionately greater engagement of inferior frontal and prefrontal dorsolateral sites than non-impaired readers (see also Brunswick et al. 1999, for similar findings). Other studies have found similar potentially compensatory shifts to posterior right hemisphere (RH) regions in RD readers both in terms of activation increases (Shaywitz et al. 1998; Simos et al. 2000; Simos et al. 2007) and functional connectivity (Pugh et al. 2000b; Rumsey et al. 1997).

### *Deficits beyond explicit phonological processing*

The commonly observed LH relative under-activation described above is most pronounced for tasks that emphasize phonological processing of printed stimuli or for metalinguistic tasks that are phonological in nature, thus reflecting the core phonological processing deficit in RD. However, similar patterns of decreased activation for RD readers have been observed in print tasks that emphasize lexical or semantic processing (e.g., making a semantic relatedness judgment about two printed words), consistent with the idea that some phonological processing (in the form of assembly) is still required for all word-reading tasks (see Frost 1998). For example, Shaywitz et al. (1998) looked at activation differences between NI and RD adults across a series of printed tasks that made variable demands on phonological processing, including (a) a single-letter rhyme task, (b) a pseudoword rhyme task, as well as (c) a semantic category judgment task that required participants to determine if two printed words were members of the same semantic category (e.g., *cat* and *dog*). RD readers showed reduced LH activation relative to NI readers during the pseudoword rhyme task, consistent with previous findings on associated pseudoword processing (e.g., Brunswick et al. 1999; Horwitz, Rumsey, & Donohue 1998; Paulesu et al. 2001). Additionally, during the semantic judgment task, RD adults had reduced activation in angular gyrus (AG) and more activation in bilateral inferior frontal gyrus (IFG) relative to NI adults. Moreover, Shaywitz et al. (2002) compared activation patterns for RD and NI children using a similar set of tasks and again found less activation in LH AG (but not RH AG) during a semantic task for RD children relative to NI children as well as less activation in bilateral middle temporal gyrus (MTG) relative to NI children. Following up on this line of work, Pugh et al. (2000a, 2000b) found that NI but not

RD adults showed correlated activation between the LH AG and the LH superior temporal gyrus (STG) for both a print pseudoword rhyme task and a print semantic category judgment task (tasks that require decoding or assembly) but not during a single-letter rhyme task (in the single-letter task, connectivity did not differ between groups). Taken together, these findings indicate differential functional cortical activation between RD and NI readers in response to visual linguistic processing in a variety of tasks, including those that do not require overt phonological processing beyond word decoding (e.g., semantic processing tasks).

### *Deficits in RD associated with processing of auditorily presented stimuli*

Given that the core deficit in RD is typically proposed to reside within the phonological component of the language system, one question that arises is the extent to which reading difficulties associated with RD and the corresponding neurobiological dysfunction are circumscribed to printed language processing. Behaviorally, individuals with RD do not typically have difficulty processing spoken words unless the task is explicitly phonological (i.e., tests of phonological awareness such as elision and blending of phonemes and words or rhyming of words or syllables) or for longer utterances or more complex tasks (such as syntactic processing or vocabulary knowledge; e.g., Scarborough 1991). However, there is some evidence indicating difficulty with processing of smaller units of speech or tones when the task is not explicitly phonological; for example, impairments have been observed when individuals with RD need to make temporal order judgments to rapidly presented tones (Tallal 1980; Share, Jorm, Maclean, & Matthews 2002); under circumstances where auditory stimuli must be extracted from noise (Chait et al. 2007); and for particular types of categorical perception (Bogliotti, Serniclaes, Messaoud-Galusi, & Sprenger-Charolles 2008).

Consistent with these behavioral findings, neurobiological dysfunction during several lower-level auditory processing tasks has been observed in children and adults with RD. For example, Gaab, Gabrieli, Deutsch, Tallal, and Temple (2007) found that RD children exhibited comparable activation in left prefrontal cortex during processing of rapid frequency changing and slow frequency changing nonlinguistic [synthesized consonant vowel consonant (CVC)-like] stimuli, whereas controls showed *increased* activation for stimuli with rapid frequency transitions. Temple et al. (2000) report similar findings for adults with RD compared to NI adults: preferential activation in left prefrontal cortex for rapid relative to slow changing transitions in NI but not for RD adults. Moreover, Ruff, Marie, Celsis, Cardebat, and Demonet (2003) observed deficits in categorical perception, such that RD adults failed to show neural response to deviant stimuli in a pre-attentive (pa-ta) oddball task; NI individuals exhibited increased activation to deviants in multiple language-related LH regions (including the angular gyrus). Finally, Brier et al. (2003), using MEG, found differences in laterality (more LH activation for NI, more RH for RD) in a syllable discrimination task using a voice onset time series continuum. These findings suggest that, at least for some individuals with RD, there may be an underlying lower-level auditory processing difficulty and/or phoneme discrimination deficit; however, it is unclear (particularly in the case of rapid auditory processing) how this difficulty is related to the more commonly observed phonological processing and decoding deficits observed in RD (see Ramus 2003 and Tallal & Gaab 2006 for reviews of this literature).

Neurobiological studies of spoken language processing in RD at the word and sentence level processing are surprisingly rare, especially considering the large number of studies on printed word processing in RD. Several early PET studies of adults with RD were consistent with findings from behavioral studies indicate spoken word dysfunction only for tasks that were explicitly phonological. For example, Rumsey et al. (1994) examined adults with RD and NI

controls across two tasks that required processing of auditorily presented linguistic information: a rhyme task and a syntactic processing task. Findings from this study revealed similar blood flow in both groups during the syntactic processing task but reduced blood flow for individuals with RD (relative to NI) during the rhyme task in the LH temporoparietal and LH superior temporal regions. These findings are consistent with a deficit that is limited to explicit phonological processing for lexical-level stimuli that are presented auditorily (see also Rumsey et al. 1992 for a similar finding and Rumsey 1996 for a review).

A few findings from recent neuroimaging studies deviate somewhat from behavioral and early neuroimaging findings in that they observe neurobiological dysfunction in individuals with RD that are associated with auditory word processing tasks that require lexical-level and/or semantic-level processing, suggesting that deficits in spoken language processing may be more broad than previously conceived. For example, Booth, Bebko, Burman, and Bitan (2007) compared semantic processing in RD and NI children in both visual and auditory presentation modalities using a semantic association task, with variable association strength between the words (participants judged whether two words were related in meaning). Although the authors found relatively few statistically significant differences between the groups in overall activation in either modality and no significant differences in the auditory modality, they did find that RD children showed weaker correlations between semantic association strength and activation in the LH MTG and LH inferior parietal lobule (regardless of modality). Moreover, Corina et al. (2001) examined both auditory phonological processing (with a rhyme task) and auditory lexical processing (with a lexical decision task) in RD boys and NI controls and found differential activation for children with RD in both tasks in a number of regions including reduced activation in the left insula, left inferior temporal gyrus, and bilateral inferior frontal gyrus, indicating dysfunction in RD for spoken word processing that is somewhat task independent. Thus, a small number of neuroimaging studies have suggested that, at least in some individuals with RD, the deficit extends to higher-level language processing in the auditory domain.

Taken together, these findings from low-level auditory processing (rapid frequency discrimination and phoneme processing) and from spoken word processing (both for tasks that require explicit phonological processing and those that do not) suggest that individuals with RD are impaired at multiple levels of auditory processing. However, the relative dearth of spoken language studies and general auditory processing studies in RD limits the generality of these findings. Additional work is needed to determine the extent of any spoken language dysfunction. In particular, parametric manipulations of spoken word/language processing that mirror those conducted with print processing and direct comparisons of printed and spoken language processing are critical for determining which types and levels of processing are consistently associated with dysfunction in RD and to determine the degree to which dysfunction in RD is modality independent.

The current paper presents work that extends previous work on neurobiological function and dysfunction in RD by directly comparing spoken and printed word processing. Furthermore, we examine both an overtly phonological and a semantic processing task in order to further define the extent of neurobiological dysfunction in RD.

## Methods

### Participants

Twenty-six adolescents (13 males and 13 females) ranging from 9.0 years in age to 19.0 years ( $M=13.2$  years,) participated in exchange for payment. Thirteen of these

participants met our criteria for RD (four females, nine males),  $M$  age=12.6, in that they scored below the 25th% on the Word Attack (WA) subtest of the Woodcock Johnson (WJ) or scored below the 40th% with a prior diagnosis of RD (WA%  $M=19.92$ )<sup>1</sup>. Thirteen participants were NI readers (nine males, four females)  $M$  age=13.7, WA%  $M=56$ . A one-way analysis of variance (ANOVA) indicated that the groups were significantly different on WA,  $F(1,24)=39.97$ ,  $p<0.0001$ . Both groups had performance IQ (PIQ: Wechsler Abbreviated Scale of Intelligence (WASI))<sup>2</sup> scores in the normal range [NI ( $M=107.8$ ); RD ( $M=99.9$ )], and the groups did not differ significantly on this measure ( $p>0.1$ ). Participants also differed significantly on their word-reading skills (TOWRE sight reading; RD,  $M=69.8$ ; NI,  $M=105$ ;  $F(1,24)=72.6$ ,  $p<0.0001$ ). All participants reported normal or corrected-to-normal vision and no history of known neurological impairments and no diagnoses of ADHD. All 13 NI participants were right handed, two of the 13 RD participants were left handed, and the remaining 11 were right handed. The experiment was conducted with the understanding and the written consent or assent of each participant, and all procedures were approved by the Yale University Institutional Review Board.

### Task and design

The current experiment examines functional activation observed during a rhyme task and during a semantic categorization task. In addition, we examine modality in the semantic task by including both spoken and printed stimuli and lexicality in the rhyme task by including both words and pronounceable pseudowords. Thus, the design is such that in one case we vary modality (auditory/visual) but match on stimulus type (words only); in the other task, we hold modality constant (visual only) and vary stimulus type (word/pseudoword). This manipulation allows for a direct comparison of semantic and phonological processing in RD as well as a direct comparison of auditorily and visually presented stimuli that do not require explicit phonological processing. Thus, this study contributes to the extant literature by examining the extent to which neurobiological dysfunction in RD is task and or modality specific. An additional goal of the current study was to examine the relationship between behavioral task performance and cortical functional activation. Bookheimer (2000) suggests that comparing impaired and non-impaired children on a task where performance does not differ is important for understanding the true extent of the neural dysfunction as performance differences/differences in effort alone can produce differences in neural activity between groups. In studies of RD, this assertion is supported by the work of Flowers, Wood, and Naylor (1991), who found reduced cerebral blood flow in left superior temporal gyrus for RD adults relative to NI controls in an auditory letter detection task, but when in-scanner accuracy was used as a covariate, these differences were no longer present. Moreover, work from our lab suggests a relationship between behavioral task performance and degree of LH dysfunction. For example, Pugh et al. 2008 found a reduction in LH dysfunction in RD adolescents (relative to NI controls) when stimuli were easier to process, either because of

<sup>1</sup> The majority of our sample of RD adolescents came from a school for children with reading disability, and many of these children were severely impaired in reading; thus, our sample includes children who are relatively more impaired than the typical RD sample with five of our 13 RD participants scoring below the 10th percentile on the WJ WA and three of our participants scoring below the 5th percentile on the WA. NI participants were recruited with flyers placed around the Yale community or by our website.

<sup>2</sup> We rely on Performance IQ rather than Verbal or Full Scale IQ because of evidence that Verbal IQ scores may be artificially deflated in RD readers due to shared variance contributed by language-based abilities underlying performance on IQ and reading measures (Siegel 1992).

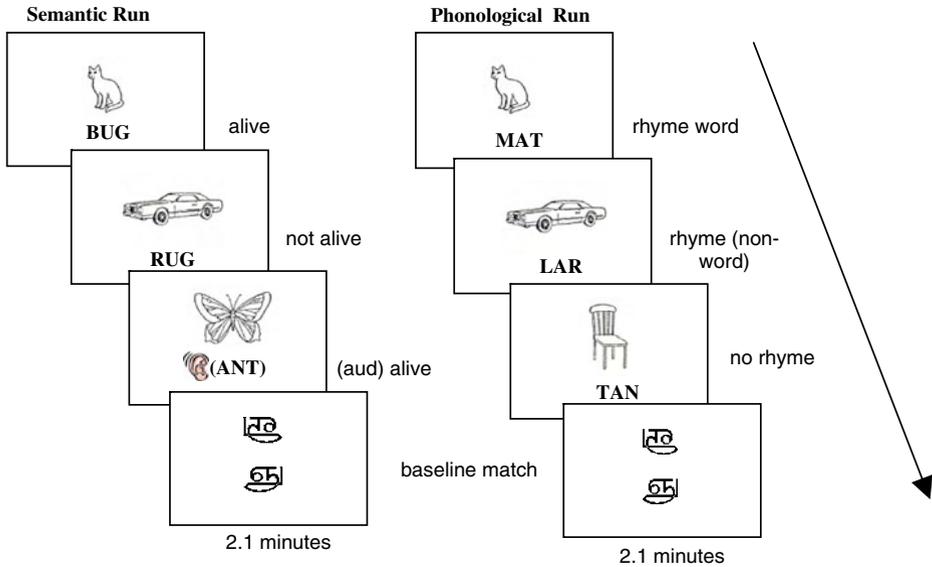
stimulus characteristics (high frequency, highly imageable words) or because they had been repeated (words presented multiple times) throughout the scanning session. Thus, with this factor in mind, we included a range of tasks encompassing both those where individuals with RD are typically more impaired behaviorally (e.g., phonological judgments with visual presentation) and tasks where they are typically less impaired behaviorally (nonphonological judgments with auditory presentation) in order to attempt to examine the effect of performance on brain activation in RD and NI readers.

The two tasks (semantic and phonological) were presented in alternating runs. Across both tasks, participants saw a picture line drawing and were then presented with a linguistic stimulus (auditory or visual word or pseudoword) and asked to make a judgment about the relationship between the picture and the linguistic token (Fig. 1). In the semantic task, the linguistic token was either a visually presented word or an auditorily presented word—allowing for an examination of modality. In this task, participants were required to make an animacy judgment about a picture and linguistic token—they were asked to press one button if both stimuli (the picture and the linguistic token) represented something that was alive (e.g., saw a picture of a *cat* and heard or saw the word *dog*), and another button if only one or neither of the stimuli were alive (e.g., saw a picture of a *cat* but heard or saw the word *desk*). The rhyme task was unimodal in that all stimuli were visually presented. In this task, participants saw a picture and either a word token or a pseudoword token and were instructed to press one button if the name of the picture rhymed with the word or pseudoword (e.g., saw a picture of a *dog* and saw the word *wog*) and another button if the two stimuli did not rhyme (e.g., saw a picture of a *dog* and saw the pseudoword *wig*). Including both words and pseudowords in this task allowed for an examination of lexicality. In addition to the four activation conditions (semantic–auditory, semantic–visual, rhyme–word, rhyme–pseudoword), the design included a common baseline task that required participants to make a visual pattern match/mismatch decision to symbols from an unfamiliar orthography (Tamil). All visual stimuli throughout the experiment (visually presented words, pseudowords, and Tamil characters) were accompanied by an auditory tone (matched in frequency and duration to the average of all auditorily presented words) in order to control for low-level auditory activations in our cross-modal comparisons.

Participants completed ten functional runs, five semantic and five rhyme, each containing seven blocks (four experimental and two baseline). Each of these blocks lasted for 18 s and contained four trials, each lasting 4.5 s for a total duration of 2.1 min per run. The picture appeared on the screen 1,500 ms prior to the auditory or visual target item. The target and picture then remained on the screen for 2,500 ms, and participants had a total of 2,800 ms to respond after the target came on screen. The Tamil trials had the same timing layout for consistency; one Tamil character would appear for 1,500 ms followed by the second Tamil character below it—both remained on the screen for 2,500 ms, and the participant had a total of 2,800 ms to respond (see Fig. 1 for a schematic of both run types).

## Stimuli

A list of monosyllabic high frequency words and pseudowords was used for the rhyme task, and a list of monosyllabic high frequency words (half were presented visually and half presented auditorily) was used for the semantic task (avg. Kucera and Francis written frequency  $M=253$ ). All words and pseudowords were three to five letters in length. Words and pseudowords were presented in black Arial font on a white background. Pictures for both tasks were a set of black and white line drawings which were obtained from the internet (selected for ease of identification). Line drawings and Tamil characters were also black on a white background. Participants were familiarized with the names of the line



**Fig. 1** Task layout for both the semantic and phonological task blocks

drawings before the experiment began in order to ensure that all participants would identify the objects correctly. All stimuli were forward projected to a large screen placed above the participant's legs, and participants viewed stimuli via prism mirrors that were adjusted individually. Volume for auditory stimuli was also adjusted individually.

#### Functional magnetic resonance imaging data acquisition and analysis

Functional imaging runs were 126 s long and consisted of four 18-s experimental blocks interleaved with three 18-s baseline blocks. After six initial warm-up images to obtain scanner equilibrium, a total of 63 full-brain functional images were acquired during each run, for up to ten imaging runs (five semantic runs and five rhyme runs), resulting in up to 90 images for each experimental condition and 270 images in the baseline condition. Order of activation block types was counterbalanced across runs.

Functional imaging was performed on a Siemens Sonata 1.5 Tesla scanner. Participants' heads were immobilized within a circularly polarized head coil using a neck support, foam wedges, and a restraining band drawn tightly around the forehead. Prior to functional imaging, 20 axial-oblique anatomic images (TE, 11 ms; TR, 420 ms; FOV, 200 mm; 6 mm slice thickness, no gap;  $256 \times 256 \times 2$  NEX) were prescribed parallel to the intercommisural line based on sagittal localizer images (TE, 7.7; TR, 500 ms; FOV, 240 mm; 23 slices, 5 mm slice thickness, no gap;  $512 \times 512 \times 1$  NEX). Activation images were collected using single shot, gradient echo, echo planar acquisitions (flip angle,  $80^\circ$ ; TE, 50 ms; TR, 2,000 ms; FOV, 200 mm; 6 mm slice thickness, no gap;  $64 \times 64 \times 1$  NEX) at the same 20 slice locations used for anatomic images.

Functional images were first sinc-interpolated to correct for slice acquisition time, corrected for motion (Friston et al. 1995), and spatially smoothed with a Gaussian filter of size 3.125 mm full width at half maximum. For each subject, an affine transformation to the standardized space defined by the Montreal Neurological Institute (MNI) was obtained, mapping between the subject-space T1 anatomic and the MNI space "Colin" brain

(available at <http://www.bic.mni.mcgill.ca>) using the BioImage Suite software package ([www.bioimagesuite.org](http://www.bioimagesuite.org); Papademetris, Jackowski, Schultz, Staib, & Duncan 2003). Prior to cross-subjects analysis, this transformation was applied to the single-subject activation maps, with trilinear interpolation, into 2 mm isotropic MNI space.

For each subject and voxel, linear regression was used to compare the mean signal during each experimental condition to the baseline condition, generating regression parameter estimates for each activation condition. First-, second-, and third-order temporal trends and run-to-run mean offsets were additionally included in the model. Across subjects, these values were entered into a mixed-model repeated measures ANOVA (Kirk 1982; Woods 1996; Holmes & Friston 1998) with planned comparisons for main effects of group, task type, word type (for rhyme), and modality (for semantic) and their interactions, conducted on a voxel-wise basis.

Whole brain contrast maps are presented at a univariate threshold  $p < 0.01$ , false discovery rate (FDR) corrected, with an additional cluster threshold of 20 contiguous voxels. As per radiological convention, images of functional magnetic resonance imaging (fMRI) activations are oriented with the LH displayed toward the right and the RH displayed toward the left. Each column displays one multislice image from superior (top) to inferior (bottom) slices at MNI  $z$  coordinates: +28 + 18 + 12 – 4 – 20 – 28.

To more fully describe task and stimulus-qualified reader group interactions, we conducted region of interest (ROI) analyses. Four LH regions were chosen that have been previously implicated in reading and reading dysfunction (c.f., Posner & Abdullaev 1999; Price 2000; Pugh et al. 2000a, 2000b; Pugh et al. 2008): (1) LH fusiform gyrus/occipitotemporal OT; (2) LH superior temporal gyrus; (3) LH inferior frontal gyrus; and (4) LH angular gyrus. ROI (1) was defined from coordinates from our recent study of good and poor readers (Pugh et al. 2008); ROIs (2–4) were defined strictly anatomically. Specifically, these ROIs were defined using the Talairach Demon atlas (Lancaster et al. 2000) and WFU-PickAtlas (Maldjian, Lauriente, Kraft, & Burdette 2003), with conversion to MNI space using the nonlinear transform described by Brett ([www.mrcbu.cam.ac.uk/Imaging/Common/mnispace.shtml](http://www.mrcbu.cam.ac.uk/Imaging/Common/mnispace.shtml)). We defined (a) the LH inferior frontal gyrus: volume of 7,118 voxels or 56,944 mm<sup>3</sup>, created from the Inferior Frontal Gyrus atlas region and cropped to bounds within MNI  $z$  coordinates –16 mm to 56 mm, dilated by 1 voxel (2 mm); (b) the LH superior temporal gyrus: volume of 3,760 voxels or 30,080 mm<sup>3</sup>, created from the Superior Temporal Gyrus atlas region and cropped to include voxels at MNI  $z$  coordinates above 60 mm, no dilation; (c) the angular gyrus: volume 1,030 voxels or 8,240 mm<sup>3</sup>, created from the atlas Angular Gyrus region with 1 voxel (2 mm) dilation.

## Results

### Behavioral data

Separate  $5 \times 2$  mixed-factor analyses of variance were conducted for latency and accuracy. Experimental condition (baseline, semantic–auditory, semantic–visual, rhyme–word, rhyme–pseudoword) served as the within-subject variable, and skill (RD, NI) served as the between-subjects variable. This analysis was followed up with post hoc direct means comparisons for interactions.

### Accuracy

The ANOVA revealed a main effect of task,  $F(4,96) = 21.53$ ,  $p < 0.001$ , partial eta squared = 0.473; pair-wise comparisons revealed that accuracy in all tasks was significantly different

**Table 1** Mean in-scanner percent accuracy and reaction time with standard deviations in parentheses, by task and group

Task	Group	Mean accuracy	Mean RT
Baseline	NI	0.96 (0.03)	978 (152)
	RD	0.91 (0.10)	1,025 (250)
	Total	0.94 (0.08)	1,002 (204)
Semantic–auditory	NI	0.78 (0.15)	1,424 (233)
	RD	0.73 (0.11)	1,356 (188)
	Total	0.75 (0.13)	1,390 (210)
Semantic–print	NI	0.79 (0.13)	1,350 (155)
	RD	0.69 (0.13)	1,438 (345)
	Total	0.74 (0.14)	1,385 (278)
Rhyme–word	NI	0.91 (0.05)	1,310 (255)
	RD	0.71 (0.16)	1,459 (317)
	Total	0.81 (0.15)	1,385 (280)
Rhyme–pseudoword	NI	0.96 (0.06)	1,332 (226)
	RD	0.77 (0.17)	1,505 (246)
	Total	0.87 (0.16)	1,419 (248)

from all other tasks, all  $p < 0.05$ , with the highest accuracy for the baseline task, followed by the rhyme tasks (words > pseudowords), with the poorest performance in the category tasks (speech > print). There was also a main effect of reader group,  $F(1,24) = 12.32$ ,  $p < 0.01$ , partial eta squared = 0.339; NI readers were generally more accurate, with consequent relative poor performance for RD readers, but this was qualified by a task by group interaction,  $F(4,96) = 3.89$ ,  $p < 0.01$ , partial eta squared = 0.139. Follow-up pair-wise comparisons of accuracy in each task revealed that, relative to RD, NI readers were more accurate in the rhyme–word,  $F(1,24) = 18.5$ ,  $p < 0.01$ ; the rhyme–pseudoword,  $F(1,24) = 13.4$ ,  $p < 0.01$ , and marginally more accurate for the semantic–visual task,  $F(1,24) = 3.9$ ,  $p = 0.059$ ; critically there was no difference between the groups for the baseline task or the semantic–auditory task (all  $p > 0.05$ ). See Table 1 for mean accuracy for each task broken down by group.

### Reaction time

For reaction time (RT) analyses, only data from correct trials were analyzed. The ANOVA revealed a main effect of task,  $F(4,96) = 31.19$ ,  $p < 0.001$ , partial eta squared = 0.565, that was driven by faster reaction times for the baseline task relative to the experimental tasks. Reaction times did not differ between the experimental tasks (all  $p > 0.05$ ). There were no other main effects or interactions. Table 1 shows mean RT for each task broken down by group.

### fMRI data

Our tasks engaged a broad bihemispheric circuitry in general, and overall activation during all tasks/contrasts was reliably higher in a large number of areas for NI relative to RD participants. Areas that differed significantly between NI and RD included a number of LH sites that have been implicated in reading, including LH IFG, LH STG, and LH OT/

**Fig. 2** Omnibus group differences indicate regions where activation for NI is greater than RD (*red/yellow*) and where activation for RD is greater than NI (*blue/purple*) across the four tasks/stimulus types. **a** Semantic task–auditory words; **b** semantic task–visual words; **c** rhyme task–words; **d** rhyme task–pseudowords. Images are presented at a univariate threshold of  $p < 0.01$ , corrected for mapwise FDR (Genovese, Lazar & Nichols 2002), with a cluster filter of 20 min voxels. Each column displays one multislice image from superior (*top*) to inferior (*bottom*) slices at MNI  $z$  coordinates:  $+28 + 18 + 12 - 4 - 20 - 28$ . As per radiological convention, images of fMRI activations are oriented with the LH displayed toward the *right* and the RH displayed toward the *left*

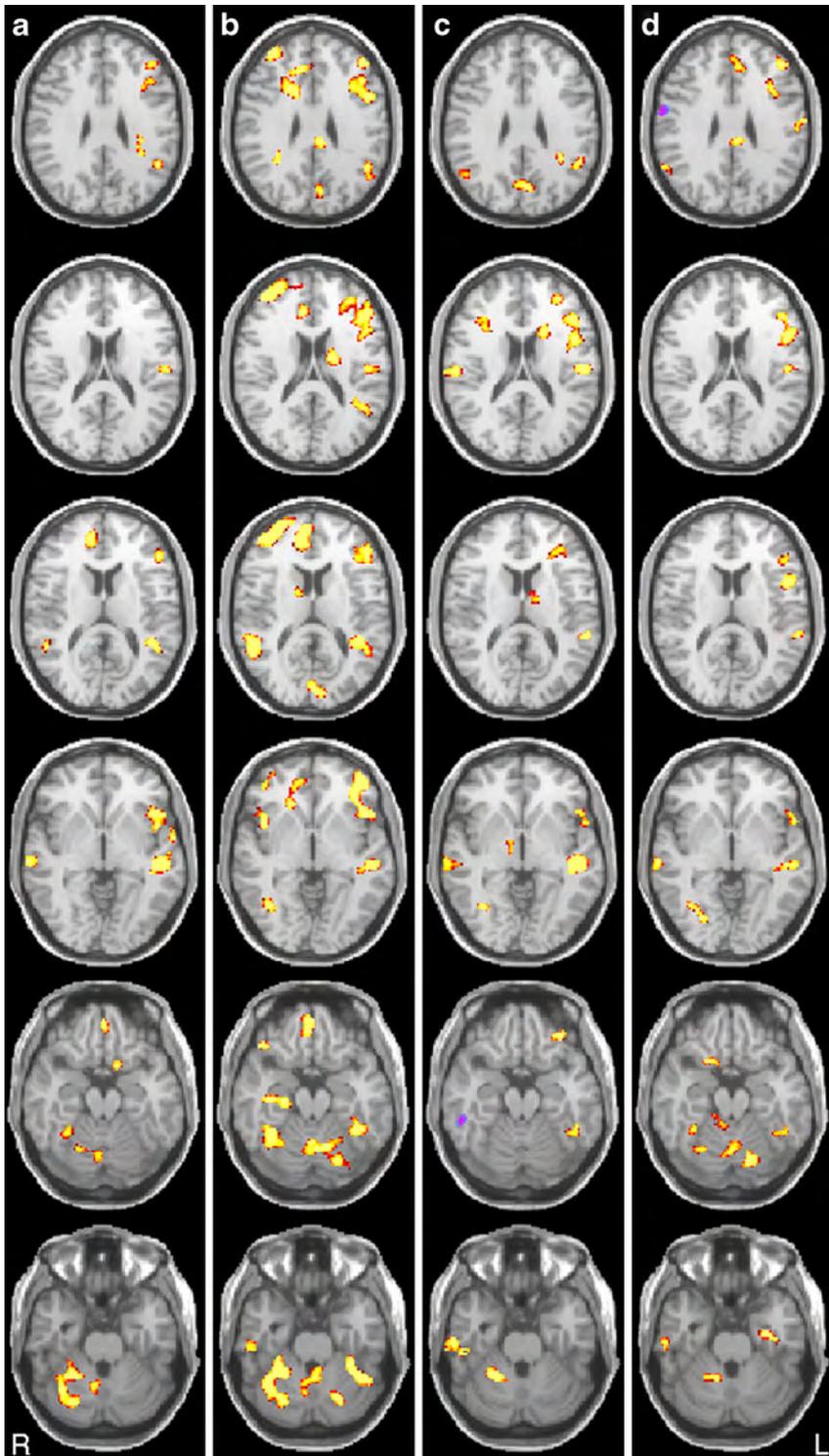
fusiform gyrus, as well as a few RH sites, particularly in the semantic conditions, including RH MFG/SFG and RH STG; RD readers also showed significantly less activation in several areas of the cerebellum (see Fig. 2a–d).

Moreover, RD readers showed similar patterns of relative under-activation across all tasks/contrasts. To identify these areas, we completed a conjunction analysis (Hadjikhani & Roland 1998), shown in Fig. 3. Areas in yellow indicate areas that showed a significant effect of reader group in every one of the four tasks (semantic–auditory, semantic–visual, rhyme–words, and rhyme–pseudowords). As can be seen in this map, NI routinely displayed significantly greater activation relative to RD in each of the four conditions for multiple LH reading related regions, including STG, IFG, and OT. Only a few very small sites showed a significant Group  $\times$  Stimulus Type (modality, lexicality)<sup>3</sup> interaction. Areas that showed a group by modality effect included a RH superior frontal site and the lentiform nucleus; areas that showed a group by lexicality effect included the LH MTG and RH IFG. For the Task (semantic > phonological) by group interaction, we found more numerous significant regions, yet they were again relatively small: these included the LH Insula/IFG, the LH fusiform gyrus, and the LH SFG. See Table 2 for the full list of areas for all task and stimulus type interactions with group. Moreover, very few areas showed increased activation for RD relative to NI for any of our tasks/conditions, and effects in this direction occurred only in the rhyme task. In this task, activation for pseudowords relative to baseline was greater for RD than NI in RH MTG and the RH precentral gyrus; words relative to baseline produced greater activation for RD relative to NI in a RH inferior temporal region. Table 3 lists the entire set of areas that showed greater activation for RD than NI.

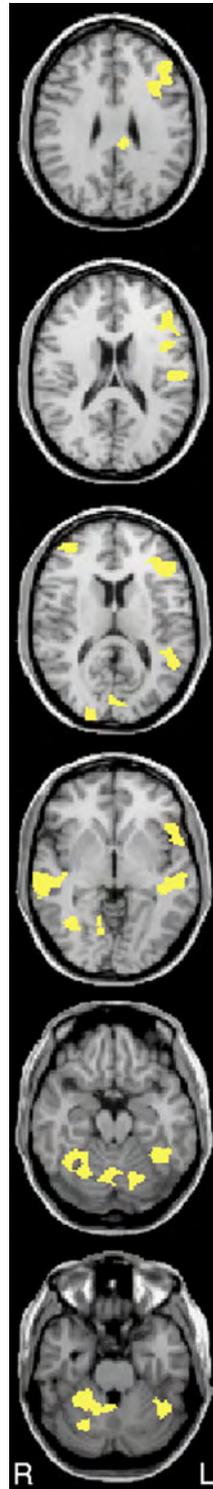
### Regions of interest

Figure 4 presents the activation levels for the four regions of interest outlined in the methods (1) LH IFG, (2) LH STG, (3) LH AG, and (4) LH OT. We focus here on LH regions as these regions are more consistently identified in the literature as showing relative under-activation, though we acknowledge that we do see some RH relative under-activation as well, consistent with a few studies of RD (e.g., Simos et al. 2000). A mixed-model repeated measures ANOVA revealed a main effect of group,  $F(1,24) = 32.5$ ,  $p < 0.001$ , partial eta squared = 0.368, that was not modulated by region or task. Thus, we observed greater activation for NI relative to RD readers across all regions and tasks.

<sup>3</sup> Because this is not a full factorial design, statistical planned comparisons of task or stimulus effects such as modality, task, and lexicality are restricted to particular task types or stimuli. That is, for modality comparisons, only the printed word and auditory words within the semantic task are compared (the words in the phonological task are not included); for Task, we compare processing of words in the semantic task to processing of words in the phonological task (auditory words and pseudowords are not included); for lexicality, we compare words to pseudowords within the rhyme task (words from the semantic task are not included).



**Fig. 3** Intersect analysis showing voxels that showed a group effect (NI > RD) for all four tasks: semantic–auditory; semantic–print; rhyme–word; rhyme–pseudoword (conjoint threshold of  $p < 0.0001$ ). The column displays one multislice image from superior (*top*) to inferior (*bottom*) slices at MNI  $z$  coordinates: +28 +18 +12 –4 –20 –28. As per radiological convention, images of fMRI activations are oriented with the LH displayed toward the *right* and the RH displayed toward the *left*



**Table 2** Areas that showed task or stimulus condition by group interaction in the whole brain analysis

Region	<i>X</i>	<i>Y</i>	<i>Z</i>	<i>P</i>	Volume (mm <sup>3</sup> )
Modality (print > speech)					
RH superior frontal gyrus	26	58	10	0.0056	840
Lentiform nucleus	28	-4	6	0.0027	168
Task (semantic > phonological)					
Caudate	-28	-41	14	0.0012	512
LH fusiform gyrus	-56	-52	-32	0.0006	504
LH middle frontal gyrus	-34	-2	50	0.001	480
RH fusiform gyrus	49	-57	-30	0.0018	448
RH inferior frontal gyrus	42	20	-2	0.0014	448
RH orbital gyrus	4	51	-22	0.0014	448
LH inferior frontal gyrus	-38	30	6	0.0005	440
RH middle frontal gyrus	30	36	-16	0.001	304
LH parahippocampal gyrus	-34	-34	-28	0.0016	248
Anterior cingulate	-10	42	2	0.0026	224
LH postcentral gyrus	-30	-30	32	0.0006	192
RH parahippocampal gyrus	14	-26	-13	0.0018	184
LH superior frontal gyrus	-22	30	52	0.0004	1032
RH precentral gyrus	42	-16	62	0.0003	704
LH insula	-30	-27	22	0.0009	176
Lexicality (words > nonwords)					
LH middle frontal gyrus	-40	18	50	0.0009	22
RH inferior frontal gyrus	40	21	-2	0.0006	108

Because this was not a full factorial design, for appropriate comparisons, *modality* refers to the print vs. speech effect for the category task only; *task* includes only the printed word-reading trials within the semantic and phonological tasks; and *lexicality* includes words and non-words in the phonology task only. *X/Y/Z* refer to coordinates of the peak activation in MNI space. *P* refers to the peak *p* value within the region. Volume includes all voxels above threshold

Moreover, in some regions, we observed a task or stimulus effect as evidenced by the interaction of region and task,  $F(9,216)=11.9$ ,  $p<0.001$ , partial eta squared=0.332. In order to further examine this effect, we ran separate repeated measures ANOVAs for each region. As expected from the omnibus ANOVA, all regions showed a main effect of group (all  $F>1$ , all  $p<0.05$ ) with greater activation for NI relative to RD. Moreover, for both the

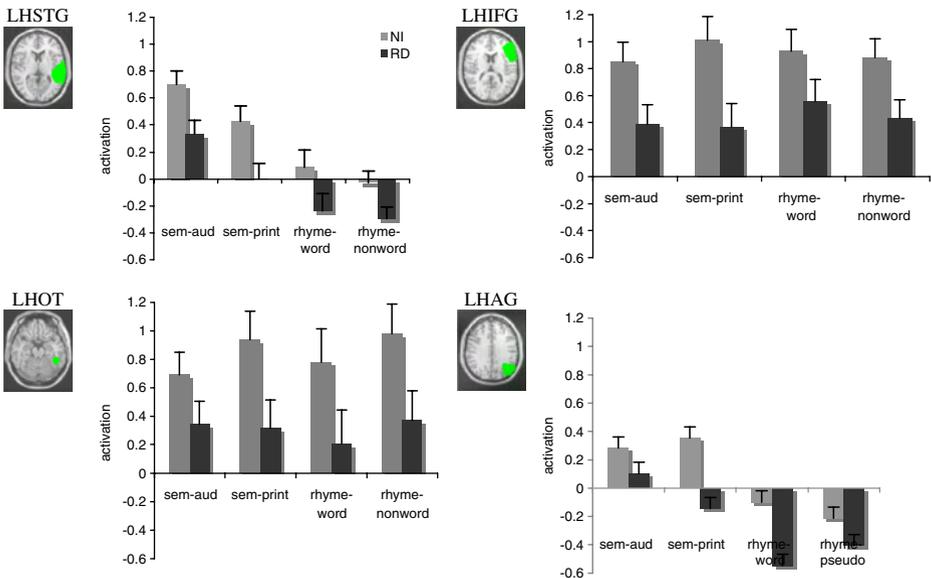
**Table 3** Areas that showed greater activity for RD relative to NI in the whole brain analysis

Region and task	<i>X</i>	<i>Y</i>	<i>Z</i>	<i>P</i>	Volume (mm <sup>3</sup> )
Rhyme task: pseudowords-baseline					
RH MTG	65	-7	-12	0.000001	192
RH precentral gyrus	61	-4	28	0.000001	280
Rhyme task: words-baseline					
RH inferior temporal	56	-40	-20	0.0001	168

angular gyrus and the STG, there was a main effect of task,  $F(3, 72) = 9.7p < 0.001$ , partial eta squared=0.228;  $F(3, 72)=19.8, p<0.001$ , partial eta squared=0.759, respectively, pair-wise comparisons revealed that the two print tasks did not differ from each other in either region ( $p>0.05$ ). Moreover, the two semantic tasks did not differ ( $p>0.05$ ) in either region. However, each of the phonological tasks was significantly different from each of the semantic tasks for both regions, all  $p<0.01$ , suggesting that the LH STG and LH AG were sensitive to the differences in semantic relative to phonological processing. This finding is consistent with previous studies showing that these regions, particularly the AG, are sensitive to semantic processing (Fiebach, Friederici, Mueller, & von Cramon 2002; Price, More, Humphreys, & Wise 1997; Rossell, Price, & Nobre 2003; Simos et al. 2002). There were no task by group interactions ( $F>1$ ), and the other two regions (LH OT and LH IFG) did not show any significant task effects. Additionally, the STG showed preferential activation for the auditory modality relative to the visual modality,  $p<0.01$ , and neither of these task/stimuli effects were qualified by an interaction with group. There were no regions that showed significantly greater activity for either of the phonological tasks relative to the semantic tasks. Figure 4 shows mean activation for each region, group, and task.

**Discussion**

The findings from the current study indicate that, for this population of adolescents with RD, decreased activation relative to NI controls is not limited to print processing tasks; in our data, we see reduced activation in multiple LH reading-related regions in a spoken language processing task. The findings also demonstrate that LH dysfunction for RD is not limited to metalinguistic tasks that focus on phonology (rhyme) but is evident in printed and spoken language semantic processing tasks that do not require overt phonological



**Fig. 4** Region of interest location and mean activation values for the four regions of interest; LH STG, LHIFG; LOT and LAG are shown (error bars represent standard error around the mean)

processing or phonological assembly. Furthermore, in the semantic–auditory task, NI and RD readers did not differ on mean in-scanner performance suggesting that the observed activation differences reflect a dysfunction in spoken language processing in our RD group that cannot be attributed to task performance alone. These findings are consistent with those of Hoeft et al. (2006), who found performance independent dysfunction in RD children in a visual rhyme task, and Papanicolaou et al. 2003 who report that differences between RD and NI were not due to task difficulty across a number of comparisons. Thus, these data are inconsistent with the suggestion that activation differences seen in RD are simply reflective of behavioral performance. However, the causes of this robust neurobiological anomaly in RD remain to be determined; the source of compromise at the level of neuroanatomy or neurochemistry is still a high priority for future research (e.g., Rae et al. 1998). Note that the performance on print tasks was not equated—children with RD often have primary difficulty in these tasks; thus, we cannot rule out contributions of performance to activation differences for the print tasks.

Somewhat in contrast to the findings presented here, other work from our lab suggests that the degree of dysfunction in RD is indeed modulated by both task and performance (Pugh et al. 2008). Dynamic studies, such as the in-scanner repetition design of Pugh and colleagues, wherein performance varied across the span of the scan but the stimuli were constant, present a promising avenue for further investigation of these issues. Thus, we suggest a more complex relationship between behavioral performance and neural activation in RD such that LH dysfunction may be lessened when stimuli are easier to process (Pugh et al. 2008) or with remediation (see Tallal 2003; Shaywitz et al. 2004; Simos et al. 2000). Ultimately, however, RD is a neurobiological disorder with a characteristic neurobiological profile associated with relative under-activation in LH cortical regions associated with both printed and spoken linguistic stimuli (and under some conditions nonlinguistic stimuli, e.g., Gaab et al. 2007). Thus, both poor behavioral performance on many reading-related tasks and LH dysfunction are characteristics of RD; however, the LH dysfunction is not necessarily a result of performance differences. Additional research is needed to examine the situations under which deficits in RD appear performance and/or task independent and when they do not.

In terms of differential activation associated with task, although we observed some regions that were sensitive to task (significantly greater activation on the LH STG and LH AG for the semantic relative to the phonological task, consistent with previous findings), we did not find any areas with greater activation for the rhyme task, suggesting that our semantic tasks engaged an overlapping but broader cortical network. Finally, we found only a few small areas where task effects were modulated by group (see Table 2), which suggests that for our population, which included some relatively severely reading disabled adolescents, their deficit was largely task and modality independent (within the word-level linguistic domain).

One additional point of interest is our pattern of under-activation for RD relative to NI in the LH OT/fusiform region, a region that has been implicated as critical for skilled, automatic word identification (e.g., Pugh et al. 2008)<sup>4</sup>. Consistent with our data, this region has routinely been shown to be sensitive to differences between RD and NI, with relatively increased activation for NI relative to RD. Furthermore, several studies have demonstrated

<sup>4</sup> We acknowledge that differences in this region failed to reach  $p < 0.01$  levels of significance for the semantic auditory condition in the whole brain map with the FDR correction; however, this region shows clear differences at a more liberal threshold of  $p < 0.05$ , FDR corrected—moreover, the ROI analysis suggests task-independent modulation of this region by group (RD > NI).

that activation in this region is positively correlated with measures of print and reading ability (Hoeft et al. 2007; Shaywitz et al. 2004; Turkeltaub, Gareau, Flowers, Zeffiro, & Eden 2003). In addition to this region's sensitivity to reading skill, this region is often thought to be specific to visual word processing (Cohen et al. 2002), and hence has been referred to as the visual word form area. Our findings contradict this second finding by showing that activation differences in this region are not modulated by task—demonstrating its sensitivity to both auditory and visual processing, along with skill-related differences. Some support for this finding was provided by Castro-Caldas, Petersson, Reis, Stone-Elander, & Ingvar (1998), who found a marginally greater activation for literate adults in this region to spoken language relative to illiterate adults. This suggests that for skilled readers, this region might be used in both spoken and printed language processing—and that knowledge of print or skill with printed language processing may increase the involvement of this region in language processing more generally.

One notable difference between findings in our study and findings from some previous research with RD participants is that we did not see a large amount of “compensatory” right hemisphere or frontal increases for RD relative to NI. An examination of our single-subject data did reveal some areas where RD showed greater activation than NI, but these areas were quite small and limited to the phonological task (see Table 3). Potential explanations for this are that the group of participants in the current study included some relatively more severely disabled readers than typically reported in neuroimaging studies of RD (Woodcock Johnson Word Attack Mean %=19.2) and thus is potentially more likely to include participants with several different subtypes of RD, each with different compensation strategies (e.g., a child with a primary phonological deficit may use a different method of compensation relative to a child with a temporal processing difficulty); however, our sample here is too small to attempt to divide into potential subtypes. Moreover, because little research has directly compared subtypes at the neurobiological level, it is difficult to test this possibility. Differences in treatment (uncontrolled in this sample) could also create more variability in the sample.

Finally, we note that we saw relatively large areas in the cerebellum that were significantly more active for NI than RD. This is consistent with previous findings that have found morphological differences in the cerebellum for individuals with RD (Kibby, Fancher, Markanen, & Hynd 2008; Eckert et al. 2005; Finch, Nicolson, & Fawcett 2002), under-activation in the cerebellum in adults with RD relative to controls during motor sequencing tasks (Nicolson 1999), and metabolic abnormalities in the cerebellum associated with RD (Rae et al. 1998). However, the nature of the relationship between cerebellar dysfunction and RD remains an opaque one—although some have suggested a cerebellar deficit hypothesis with primary difficulties in coordination, movement, and dexterity as causal (Nicolson, Fawcett, & Dean 2001), this hypothesis has been controversial (see Ramus, Pidgeon, & Frith 2007; Nicolson & Fawcett 2006; Zeffiro & Eden 2001 for multiple views on this issue).

## Conclusion

By demonstrating LH dysfunction in RD for both semantic and phonological processing tasks and for both spoken and printed language tasks, our findings add to a growing body of literature that aims to understand the underlying neurobiological dysfunction associated with RD. Our finding of a significant reduction in activation for RD relative to NI, for a spoken language processing task where participants did not differ on mean in-scanner

performance, underscores the utility of neuroimaging techniques for revealing dysfunction that may not be observed with behavioral measures. Critically, this finding indicates that LH dysfunction in RD is not necessarily driven by behavioral performance, suggesting a need for more sensitive behavioral measures in general and especially in the area of spoken language processing—an area that has received relatively less attention than printed work processing in RD. We note, however, that previous studies from our own lab and others have demonstrated a relationship between performance and LH dysfunction in RD, and we point to the need for further investigation this relationship. Finally, consistent with our findings of similar LH dysfunction for spoken and written language processing, we observed similar patterns of LH dysfunction across both semantic and phonological processing tasks, indicating largely overlapping regions in terms of LH dysfunction in RD that is not limited to tasks that require overt phonological processing or phonological assembly.

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